



Audit and Standards Committee Report

Report of: Senior Finance Manager, Internal Audit

Date: 16th December 2021

Subject: Progress on No Assurance/Limited Assurance (High organisational impact) Opinion Audit Reports

Author of Report: Linda Hunter, Senior Finance Manager, Internal Audit

Summary: The attached is the report of the Senior Finance Manager, Internal Audit providing an updated position on implementation of recommendations contained in audit reports issued with a high opinion or a limited/no assurance opinion and high organisational impact assessment.

Recommendations:

Members are asked to:

To note the contents of the report.

That the Audit and Standards Committee agrees to the removal of the following reports from the tracker:

- Enforcement Agent Review
- Appointeeship Service
- Council Processes for Management Investigations
- Controls in Town Hall Machine room
- Data Security and Protection (DSP) Toolkit

Background Papers:

Category of Report: Open

* Delete as appropriate

If closed, the report/appendix is not for publication because it contains exempt information under Paragraph (insert relevant paragraph number) of Schedule 12A of the Local Government Act 1972 (as amended).'

Statutory and Council Policy Checklist

Financial implications
YES /NO Cleared by: Linda Hunter
Legal implications
YES /NO
Equality of Opportunity implications
YES /NO
Tackling Health Inequalities implications
YES /NO
Human rights implications
YES /NO
Environmental and Sustainability implications
YES /NO
Economic impact
YES /NO
Community safety implications
YES /NO
Human resources implications
YES /NO
Property implications
YES /NO
Area(s) affected
Relevant Scrutiny Committee if decision called in
Not applicable
Is the item a matter which is reserved for approval by the City Council? YES/NO
Press release
YES /NO